

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior Application: T. KATO et al
Serial No. 10/219,268
Filed: August 16, 2002

Group Art Unit: 2874
Examiner: S. Song
For: OPTICAL MODULE, METHOD FOR
MANUFACTURING OPTICAL MODULE AND
OPTICAL COMMUNICATION APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
Alexandria, VA 22314

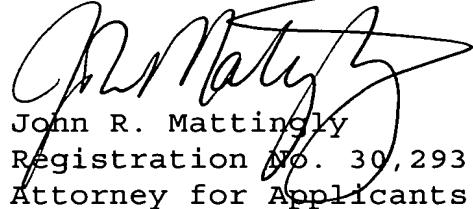
Sir:

In accordance with the duty of disclosure, Applicants inform the Examiner of the documents cited during prosecution of the parent application, USSN 10/219,268.

The above-referenced patent application is a continuation application of U.S. Serial No. 10/219,268, filed August 16, 2002, which is a continuation application of U.S. Serial No. 09/788,341, filed on February 21, 2001, now U.S. Patent No. 6,457,877, which is a continuation application of U.S. Serial No. 09/480,478, filed on January 11, 2000, now U.S. Patent No. 6,220,764, which is a continuation application of U.S. Serial No. 09/402,585, filed October 7, 1999, now U.S. Patent No. 6,282,352, from which priority is claimed under 35 U.S.C. § 120.

Applicants request the Examiner to initial and return a copy of the attached PTO-1449 form to indicate that the references have been considered.

Respectfully submitted,



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Date: February 27, 2004

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. NIT-156-05	SERIAL NO.
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT T. KATO et al			
		FILING DATE February 27, 2004	GROUP 2874		

U.S. PATENT DOCUMENTS

* EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
	AA	5,737,467	04/1998	Kato et al			
	AB	5,684,903	11/1997	Kyomasu et al			
	AC	5,013,495	05/1991	Noba et al			
	AD	4,756,592	07/1988	Sasayama et al			
	AE	4,410,469	10/1983	Katagiri et al			
	AF	4,466,009	08/1984	Konishi et al			
	AG	5,727,105	03/1998	Nagata et al			
	AH	6,170,996	01/2001	Miura et al			
	AI	6,282,350	08/2001	Takahashi et al			
	AJ	6,282,352	08/2001	Kato et al			
	AK	6,220,764	04/2001	Kato et al			

FOREIGN PATENT DOCUMENTS

		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	AL	8-15576	01/19/96	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AM	8-248274	09/27/96	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AN	2-271308	11/06/90	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AO	7-35954	02/07/95	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AP	3-17605	01/25/91	Japan			<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Robertson, Michael J., et al, "The Expanded Mode Laser-A Route to Low Cost
	Optoelectronics," IEICE Trans. Electron, Vol. E80-C, No. 1, January 1997, pp. 17-23.
AS	Collins, J.V., et al, "Laser Diode Chip and Packaging Technology,"
	Proceedings, SPIE, Vol. 2610, pp. 108-116.
AT	Hall, I.P., "Novel Techniques for Low-Cost, High Performance Optoelectronic
	Component Assembly," 9th European Hybrid Microelectronics Conference, Nice, France, June 1993, pp. 44-47.

EXAMINER	DATE CONSIDERED
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
	AA	6,457,877	10-2002	Kato et al			
	AB	6,632,027	10-2003	Yoshida et al			
	AC						
	AD						
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	AG						
	AH						
	AI						
	AJ						
	AK						

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		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	AL	2-271308	11/06/90	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AM	1-304405	12/08/89	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AN	7-321407	12/08/95	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AO						<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AR		Hall, I.P., "Non-hermetic Encapsulation and Assembly Techniques for
		Optoelectronic Applications," 10th European Microelectronics Conference, May 14-17, 1995, Copenhagen, Denmark.
AS		Proceedings of the Conference of the Institute of Electronics, Information
		and Communication Engineers, 1996, Vol. 1, SC-3-14, pp. 478-479.
AT		Proceedings of the Conference of the Institute of Electronics, Information
		and Communication Engineers, 1996, Vol. 1, C-216, page 216.

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	AM						<input type="checkbox"/> <input type="checkbox"/>
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	AO						<input type="checkbox"/> <input type="checkbox"/>
	AP						<input type="checkbox"/> <input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AR		Proceedings of the Conference of the Institute of Electronics, Information
		and Communication Engineers, 1996, Vol. 1, C-207, page 207.
AS		
AT		

EXAMINER	DATE CONSIDERED
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